



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/618,531 Confirmation No.: Unassigned  
Applicant: Phillip A. Furman  
Filed: July 11, 2003  
TC/AU.: Unassigned  
Examiner: Unassigned  
Docket No.: 04674.105070 TRI 1016 US  
Customer No.: 20786  
Title: Combination Therapies with L-FMAU for the Treatment of Hepatitis B Virus Infection

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**Transmittal of Information Disclosure Statement**

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant," is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each reference is being submitted as part of this Information Disclosure Statement. The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Because this Information Disclosure Statement is being submitted before the mailing of a first Office action on the merits as under 37 CFR §1.97(b)(3) the Applicant does not believe that any fees are due; however, the Commissioner is hereby authorized to charge any fees due or credit any overpayment to Deposit Account No. 11-0980.

Respectfully submitted,

Sherry M. Knowles, Esq.  
Reg. No. 33,052

King & Spalding, LLP  
191 Peachtree Street, N.E., Atlanta, GA 30303  
Office: (404)572-4600/ Fax: 404-572-5145

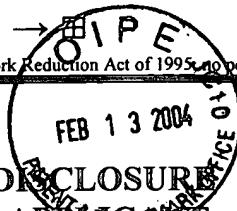
**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 11, 2004.

Brent R. Bellows

3410422\_1.DOC

Please type a plus sign (+) inside this box



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

FEB 13 2004

U.S. PATENT AND TRADEMARK OFFICE

Complete if Known	
Application Number	10/618,531
Filing Date	07-11-2003
First Named Inventor	Phillip A. Furman
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	04674.105070 TRI 1016

3397510\_2

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
AA	3,798,209			Witkowski et al.	03-19-1974
AB	RE29,835			Witkowski et al.	11-14-1978
AC	5,075,445			Jarvest et al.	12-24-1991
AD	5,142,051	A		Holy et al.	08-25-1992
AE	5,444,063	A		Schinazi	08-22-1995
AF	5,539,116	A		Liotta et al.	07-23-1996
AG	5,565,438	A		Chu et al.	10-15-1996
AH	5,567,688	A		Chu et al.	10-22-1996
AI	5,587,362	A		Chu et al.	12-24-1996
AJ	5,641,763	A		Holy et al.	06-24-1997
AK	5,674,841	A		Pragnell et al.	10-07-1997
AL	5,674,849	A		Twist et al.	10-07-1997
AM	5,684,010	A		Schinazi et al.	11-04-1997
AN	5,684,153	A		Geen et al.	11-04-1997
AO	5,703,058	A		Schinazi et al.	12-30-1997
AP	5,767,102	A		Draper et al.	06-16-1998
AQ	5,767,122	A		Chu et al.	06-16-1998
AR	5,808,040	A		Chu et al.	09-15-1998
AS	5,814,639	A		Liotta et al.	09-29-1998
AT	5,834,474	A		Schinazi	11-10-1998
AU	5,905,070	A		Schinazi et al.	05-18-1999
AV	5,914,331	A		Liotta et al.	06-22-1999
AW	5,990,093	A		Schinazi et al.	11-23-1999
AX	6,194,388	B1		Krieg et al.	02-27-2001
AY	6,194,391	B1		Schinazi et al.	02-27-2001
AZ	6,207,646	B1		Krieg et al.	03-27-2001
AAA	6,218,371	B1		Krieg et al.	04-17-2001
AAB	6,225,292	B1		Raz et al.	05-01-2001
AAC	6,232,300	B1		Schinazi et al.	05-15-2001
AAD	6,239,116	B1		Krieg et al.	05-29-2001
AAE	6,339,068	B1		Krieg et al.	01-15-2002

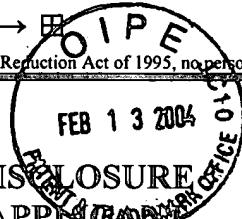
Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

7

**Complete if Known**

Application Number	10/618,531
Filing Date	07-11-2003
First Named Inventor	Phillip A. Furman
Group Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number **04674.105070 TRI 1016 US**

3397510\_2

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
BA	6,406,705	B1		Davis <i>et al.</i>	06-18-2002	
BB	6,562,798	B1		Schwartz	05-13-2003	
BC	6,589,940	B1		Raz <i>et al.</i>	07-08-2003	
BD	20020098199	A1		Van Nest <i>et al.</i>	07-25-2002	
BE	20030091599	A1		Davis <i>et al.</i>	05-15-2003	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (if known)				
BF	DE	4,224,737	A1		Herbert Schott	02-03-1994		
BG	EPA	0,494,119	A1		IAF Biochem Int'l.	08-07-1992		
BH	WO	92/18517	A1		Yale Univ.; U. Ga. R. F.	10-29-1992		
BI	WO	94/09793	A1		Emory University	05-11-1994		
BJ	WO	95/07086	A1		Emory Univ.; CNRS; UAB R.F.	03-16-1995		
BK	WO	95/07287	A1		CNRS	03-16-1995		
BL	WO	95/20595	A1		U. Ga. Res. Found.; Yale Univ.	08-03-1995		
BM	WO	95/32984	A1		Boehringer Mannheim	12-07-1995		
BN	WO	96/13512	A2		Genencor International	05-09-1996		
BO	WO	96/22778	A2		Emory University	08-01-1996		
BP	WO	96/40164	A1		Emory; UAB Res. F.; CNRS	12-19-1996		
BQ	WO	97/28259	A1		University of California	08-07-1997		
BR	WO	98/16247	A1		University of California	04-23-1998		
BS	WO	98/23285	A1		SmithKline Beecham	06-04-1998		
BT	WO	98/55495	A2		Dynavax Technologies	12-10-1998		
BU	WO	99/05157	A1		Univ. of Georgia Res. Found.	02-04-1999		
BV	WO	99/05158	A1		Univ. of Georgia Res. Found.	02-04-1999		
BW	WO	99/11275	A2		University of California	03-11-1999		
BX	WO	99/62923	A2		Dynavax Technologies	12-09-1999		
BY	WO	99/66936	A1		Emory; Novirio Pharm. (Idenix)	12-20-1999		

Examiner Signature		Date Considered
--------------------	--	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

FEB 13 2004

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	10/618,531
Filing Date	07-11-2003
First Named Inventor	Phillip A. Furman
Group Art Unit	Unassigned
Examiner Name	Unassigned

Sheet 3 of 7 Attorney Docket Number 04674.105070 TRI 1016 US

3397510\_2

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number	Kind Code <sup>2</sup> (if known)				
CA	WO	00/16804	A1		Dynavax Technologies	03-30-2000		
CB	WO	00/09531	A2		Novirio Pharm. (Idenix), CNRS	02-24-2000		
CC	WO	00/21556	A1		Dynavax Technologies	04-20-2000		
CD	WO	00/25797	A1		Triangle Pharmaceuticals	05-11-2000		
CE	WO	01/12223	A2		Dynavax Technologies	02-22-2001		
CF	WO	01/22972	A2		U. Iowa R.F.; Coley Pharm.	04-05-2001		
CG	WO	01/22990	A2		Coley Pharm.; U. Iowa R.F.	04-05-2001		
CH	WO	01/68077	A2		Dynavax Technologies	09-20-2001		
CI	WO	01/68078	A2		Dynavax Technologies	09-20-2001		
CJ	WO	01/68116	A2		Dynavax Technologies	09-20-2001		
CK	WO	01/68117	A2		Dynavax Technologies	09-20-2001		
CL	WO	01/68143	A2		Dynavax Technologies	09-20-2001		
CM	WO	01/68144	A2		Dynavax Technologies	09-20-2001		
CN	WO	01/72294	A2		Georgetown; Cornell; U.Ga.	10-04-2001		
CO	WO	01/95935	A1		Ottawa Health R.I.; Coley Ph.	12-20-2001		
CP	WO	02/052002	A2		Dynavax Technologies	07-04-2002		
CQ	WO	02/068058	A2		Triangle Pharmaceuticals	09-06-2002		
CR	WO	02/069369	A2		Coley Pharmaceutical	09-06-2002		
CS	WO	02/079213	A1		Triangle Pharmaceuticals	10-10-2002		
CT	WO	03/000922	A2		Dynavax Technologies	01-03-2003		
CU	WO	03/012061	A2		Coley Pharm.; Max Delbruck C.	02-13-2003		
CV	WO	03/014316	A2		Dynavax Technologies	02-20-2003		
CW	WO	03/015711	A2		Coley Pharmaceutical	02-27-2003		
CX	WO	03/031573	A2		Coley Pharmaceutical	04-17-2003		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box → 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

FEB 13 2004

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	<b>10/618,531</b>
Filing Date	<b>07-11-2003</b>
First Named Inventor	<b>Phillip A. Furman</b>
Group Art Unit	Unassigned
Examiner Name	Unassigned

Sheet **4** of **7** Attorney Docket Number **04674.105070 TRI 1016 US**

3397510\_2

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	DA	AGUESSE-GERMON, <i>et al.</i> , "Inhibitory effect of 2'-fluoro-5-methyl-β-L-arabinofuranosyl-uracil on duck hepatitis B virus replication," <i>Antimicrob. Agents Chemother.</i> , 42(2):369–376 (February 1998).	
	DB	AHMED, S.N.S., <i>et al.</i> , "Early detection of viral resistance by determination of hepatitis B virus polymerase mutations in patients treated by lamivudine for chronic hepatitis B," <i>Hepatology</i> , 32(5):1078-1088 (November 2000)	
	DC	ALLEN, M. I., <i>et al.</i> , "Identification and characterization of mutations in hepatitis B virus resistant to lamivudine," <i>Hepatology</i> 27(6):1670–1677 (June 1998).	
	DD	BALLAS, Z.K., <i>et al.</i> , "Induction of NK activity in murine and human cells by CpG motifs in oligodeoxynucleotides and bacterial DNA," <i>The Journal of Immunology</i> , 157:1840-1845 (1996).	
	DE	CHAYAMA, K., <i>et al.</i> , "Emergence and takeover of YMDD motif mutant hepatitis B virus during long-term lamivudine therapy and re-takeover by wild type after cessation of therapy, <i>Hepatology</i> 27(6):1711–1716 (June 1998).	
	DF	CHANG, C.-N., <i>et al.</i> , "Deoxycytidine deaminase-resistant stereoisomer is the active form of (+)-2'.3'-dideoxy-3'-thiacytidine in the inhibition of hepatitis B virus replication," <i>Journal of Biological Chemistry</i> , 267(20):13938-13942 (1992).	
	DG	CHIN, R., <i>et al.</i> , "In vitro susceptibilities of wild-type or drug-resistant hepatitis B virus to (-)-β-D-2,6-diaminopurine dioxolane and 2'-fluoro-5-methyl-β-L-arabinofuranosyluracil," <i>Antimicrob. Agents Chemother.</i> , 45(9):2495–2501 (September 2001).	
	DH	CHOW, Y.-K., <i>et al.</i> , "Use of evolutionary limitations of HIV-1 multidrug resistance to optimize therapy," <i>Nature</i> , 361:650–654 (1993).	
	DI	COLLEDGE, D., <i>et al.</i> , "Synergistic inhibition of hepadnaviral replication by lamivudine in combination with penciclovir <i>in vitro</i> ," <i>Hepatology</i> 26(1):216–225 (July 1997).	
	DJ	COLLEDGE, D., <i>et al.</i> , "In vitro antihepadnaviral activities of combinations of penciclovir, lamivudine, and adefovir," <i>Antimicrobial Agents and Chemotherapy</i> , 44(3):551-560 (March 2000).	
	DK	COWDERY, J.S., <i>et al.</i> , "Bacterial DNA induces NK cells to produce IFN-γ <i>in vivo</i> and increases the toxicity of lipopolysaccharides," <i>J. Immunol.</i> , 156:4570-4575 (1996).	
	DL	CULLEN, J.M., <i>et al.</i> , "In vivo antiviral activity and pharmacokinetics of (-)-cis-5-fluoro-1-(2-(hydroxymethyl)-1,3-oxathiolan-5-yl)cytosine in woodchuck hepatitis virus-infected woodchucks" <i>Antimicrob. Agents Chemother.</i> 41(10):2076-2082 (October 1997).	
	DM	DU, J., <i>et al.</i> , "A practical synthesis of L-FMAU from L-arabinose," <i>Nucleosides and Nucleosides</i> , 18(2):187-195 (1999).	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

FEB 13 2004

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/618,531
Filing Date	07-11-2003
First Named Inventor	Phillip A. Furman
Group Art Unit	Unassigned
Examiner Name	Unassigned

Sheet

5

of

7

Attorney Docket Number

04674.105070 TRI 1016 US

3397510\_2

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	EA	FURMAN et al., "The Anti-Hepatitis B Virus Activities, Cytotoxicities, and Anabolic Profiles of the (-) and (+) Enantiomers of cis-5-Fluoro-1-{2-(Hydroxymethyl)-1,3-oxathiolane-5-yl}-Cytosine" <i>Antimicrobial Agents and Chemotherapy</i> , 36(12):2686-2692 (December 1992).	
	EB	GÁLVEZ, J., et al., "Topological approach to analgesia," <i>J. Chem. Inf. Comput. Sci.</i> , 35(5):1198-1203 (1994).	
	EC	GISH, R.G., et al., "Dose range study of pharmacokinetics, safety, and preliminary antiviral activity of emtricitabine in adults with hepatitis B virus infection," <i>Antimicrob. Agents Chemother.</i> , 46(6):1734-1740 (June 2002).	
	ED	GUIDOTTI, L.G., et al., "Noncytopathic clearance of lymphocytic choriomeningitis virus from the hepatocyte," <i>J. Exp. Med.</i> , 189:1555-1564 (1999).	
	EE	GUO, J.-T., et al., "Apoptosis and regeneration of hepatocytes during recover from transient hepadnavirus infections" <i>J. Virol.</i> , 74:1495-1505 (2000).	
	EF	KLINMAN, D.M., et al., "Contribution of CpG motifs to the immunogenicity of DNA vaccines," <i>J. Immunol.</i> , 158(8):3635-3639 (April 15, 1997).	
	EG	KORBA, B.E., et al., "Enhanced antiviral benefit of combination therapy with lamivudine and famciclovir against WHV replication in chronic WHV carrier woodchucks," <i>Antivir. Res.</i> 45:19-32 (2000).	
	EH	KORBA, B.E., et al., "Effect of oral administration of emtricitabine on woodchuck hepatitis virus replication in chronically infected woodchucks," <i>Antimicrob. Agents Chemother.</i> 44(6):1757-1760 (June 2000).	
	EI	KRIEG, A.M., "Leukocyte stimulation by oligodeoxynucleotides," Chapter 24 in <i>Applied Antisense Oligonucleotide Technology</i> , C.A. Stein and A.M. Krieg, Eds., Wiley-Liss, Publishers, New York (1998), pp. 431-448.	
	EJ	LEUNG, N. W., et al., "Extended lamivudine treatment in patients with chronic hepatitis B enhances hepatitis B e antigen seroconversion rates: Results after 3 years of therapy," <i>Hepatology</i> 33(6):1527-1532 (June 2001).	
	EK	LIN, T.-S., et al., "Synthesis and antiviral activity of various 3'-azido analogues of pyrimidine deoxyribonucleosides against human immunodeficiency virus (HIV-1, HTLV-III/LAV)," <i>J. Med. Chem.</i> , 31(2):336-340 (1988).	
	EL	LIPFORD, G.B., et al., "CpG-containing synthetic oligonucleotides promote B and cytotoxic T cell responses to protein antigen: A new class of vaccine adjuvants," <i>Eur. J Immunol.</i> 27:2340-2344 (1997).	

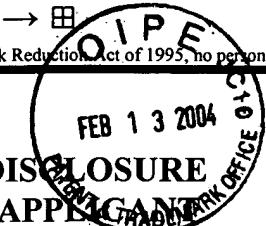
Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Complete if Known*

Application Number	<b>10/618,531</b>
Filing Date	<b>07-11-2003</b>
First Named Inventor	<b>Phillip A. Furman</b>
Group Art Unit	Unassigned
Examiner Name	Unassigned

Sheet **6** of **7** Attorney Docket Number **04674.105070 TRI 1016 US**

3397510\_2

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	FA	LOK, A.S.F., and McMAHON, B.J., "Chronic Hepatitis B," AASLD Practice Guidelines, in <i>Hepatology</i> , 1225-1241 (December 2001).	
	FB	MAHMOUDIAN, M., "Quantitative structure-activity relationships (QSARs) of pyrimidine nucleosides as HIV-1 antiviral agents," <i>Pharm. Research</i> , 8(1):43-46 (1991).	
	FC	MASON, W.S., <i>et al.</i> , "Lamivudine therapy of WHV-infected woodchucks," <i>Virology</i> , 245:18-32 (1998).	
	FD	MELEGARI, M., <i>et al.</i> , "Hepatitis B virus mutants associated with 3TC and famciclovir administration are replication defective," <i>Hepatology</i> 27(2):628-633 (February 1998).	
	FE	MENNE, S., <i>et al.</i> , "Immunization with surface antigen vaccine alone and after treatment with 1-(2-fluoro-5-methyl-β-L-arabinofuranosyl)-uracil (L-FMAU) breaks humoral and cell-mediated immune tolerance in chronic woodchuck hepatitis virus infection," <i>J. Virology</i> , 76(11):5305-5314 (June 2002).	
	FF	NOWAK, M.A., <i>et al.</i> , "Viral dynamics in hepatitis B virus infection," <i>Proc. Natl. Acad. Sci. USA</i> 93:4398-4402 (April 1996).	
	FG	ONO, S. K., <i>et al.</i> , "The polymerase L528M mutation cooperates with nucleotide binding-site mutations, increasing hepatitis B virus replication and drug resistance," <i>J. Clin. Investig.</i> , 107:449-455 (2001).	
	FH	PEEK et al., "Antiviral activity of clevudine [L-FMAU, (1(2-fluoro-5-methyl-beta, L-arabinofuranosyl) uracil)] against woodchuck hepatitis virus replication and gene expression in chronically infected woodchucks ( <i>Marmota monax</i> )" <i>Hepatology</i> 2001, 33, 254-66.	
	FI	PISETSKY, D.S., "The immunological properties of DNA," <i>The Journal of Immunology</i> , 156:421-423 (1996).	
	FJ	RISTIG, M.B., <i>et al.</i> , "Tenovor disoproxil fumarate therapy for chronic hepatitis B in Human Immunodeficiency Virus / Hepatitis B Virus-coinfected individuals for whom interferon-α and lamivudine therapy have failed," <i>J. Infectious Diseases</i> , 186:1844-1847 (December 15, 2002).	
	FK	ROMAN, M., <i>et al.</i> , "Immunostimulatory DNA sequences function as T helper-1-promoting adjuvants," <i>Nature Med.</i> , 3(8):849-854 (August 1997).	
	FL	SATO, Y., <i>et al.</i> , "Immunostimulatory DNA sequences necessary for effective intradermal gene immunization," <i>Science</i> , 273(5273):352-354 (July 19, 1996).	
	FM	SEIGNE RES, B., <i>et al.</i> , "Inhibitory activity of dioxolane purine analogs on wild-type and lamivudine-resistant mutants of hepadnaviruses," <i>Hepatology</i> 36(3):710-722 (September 2002).	
	FN	SEIGNE RES, B., <i>et al.</i> , "Evolution of Hepatitis B virus polymerase gene sequence during famciclovir therapy for chronic hepatitis B," <i>J. Infect. Dis.</i> , 181:1221-1233 (April 2000).	

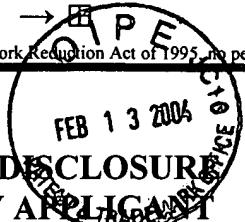
Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Please type a plus sign (+) inside this box**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY AN ALIANT**

(use as many sheets as necessary)

Sheet

7

of

7

**Attorney Docket Number**

***Complete if Known***

Application Number	10/618,531
Filing Date	07-11-2003
First Named Inventor	Phillip A. Furman
Group Art Unit	Unassigned
Examiner Name	Unassigned

3397510 2

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	GA	SEIGNE`RES, B., <i>et al.</i> , "Duck hepatitis B virus polymerase gene mutants associated with resistance to lamivudine have a decreased replication capacity in vitro and in vivo," <i>J. Hepatol.</i> 34:114-122 (2001).	
	GB	SEIGNERES, B., <i>et al.</i> , "Effects of pyrimidine and purine analog combinations in the duck Hepatitis B Virus infection model," <i>Antimicrobial Agents and Chemotherapy</i> , 47(6):1842-1852 (2003).	
	GC	SHIMADA, S., <i>et al.</i> , "In vivo augmentation of natural killer cell activity with a deoxyribonucleic acid fraction of BCG," <i>Jpn. J. Cancer Res (Gann)</i> , 77:808-816 (August 1986).	
	GD	SNYDER, S., <i>et al.</i> , "The triple combination indinavir-zidovudine-lamivudine is highly synergistic," <i>Antimicrob. Agents Chemother.</i> , 44(5):1051-1058 (April 2000).	
	GE	STUYVER, L. J., <i>et al.</i> , "Nomenclature for antiviral-resistant human hepatitis B virus mutations in the polymerase region," <i>Hepatology</i> 33(3):751-757 (March 2001).	
	GF	TSAI, C.-H., <i>et al.</i> , "Effect of anti-HIV 2'-b-fluoro-2',3'-dideoxynucleoside analogs on the cellular content of mitochondrial DNA and on lactate production," <i>Biochem. Pharmacol.</i> , 48(7):1477-1481 (1994).	
	GG	VILLAHERMOSA, M. L., <i>et al.</i> , "Synergistic inhibition of HIV-1 reverse transcriptase by combinations of chain-termination nucleotides," <i>Biochemistry</i> 36:13223-13231 (1997).	
	GH	Von JANTA-LIPINSKI, M., <i>et al.</i> , "Newly synthesized L-enantiomers of 3'-fluoro-modified β-2'-deoxyribonucleoside 5'-triphosphates inhibit hepatitis B DNA polymerases but not the five cellular DNA polymerases α, β, γ, δ, and ε nor HIV-1 reverse transcriptase," <i>J. Med. Chem.</i> , 41(12):2040-2046 (1998).	
	GI	YAMAMOTO, S., <i>et al.</i> , "Unique palindromic sequences in synthetic oligonucleotides are required to induce INF and augment INF-mediated natural killer activity," <i>The Journal of Immunology</i> , 148:4072-4076 (1992).	
	GJ	YING, C., <i>et al.</i> , "Inhibition of the replication of the DNA polymerase M550V mutation variant of human Hepatitis B Virus by adefovir, tenofovir, L-FMAU, DAPD, penciclovir and lobucavir," <i>J. Viral Hepatitis</i> , 7:161-165 (2000).	
	GK	ZHU, Y., <i>et al.</i> , "Kinetics of hepadnavirus loss from the liver during inhibition of viral DNA synthesis" <i>J. Virol.</i> , 75(1):311-22 (2001).	
	GL	ZOULIM, F., "Evaluation of novel strategies to combat hepatitis B virus targetting [sic] wild-type and drug-resistant mutants in experimental models," <i>Antivir. Chem. Chemother.</i> , 12(Suppl. 1):131-142 (2001).	

3397510 2

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.